

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

**FORM 8-K**

CURRENT REPORT

Pursuant to Section 13 or 15(d)  
of the Securities Exchange Act of 1934  
Date of Report (Date of earliest event reported): June 13, 2023 (June 12, 2023)

**UNRIVALED BRANDS, INC.**

(Exact name of registrant as specified in its charter)

<b>Nevada</b> (State or other jurisdiction of incorporation)	<b>000-54258</b> (Commission File Number)	<b>26-3062661</b> (IRS Employer Identification No.)
<b>3242 S. Halladay St., Suite 202</b> <b>Santa Ana, California</b> (Address of principal executive offices)		<b>92705</b> (Zip Code)

Registrant's telephone number, including area code: **(888) 909-5564**

**Not Applicable**

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading symbol	Name of each exchange on which registered
Common Stock, par value \$0.001	UNRV	OTCQB

Indicate by check mark whether the registrant is an emerging growth company as defined in in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by checkmark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

**Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.**

On June 12, 2023, the Board of Directors (the “Board”) of Unrivald Brands, Inc. (the “Company”) appointed Patty Chan as the Company’s Chief Financial Officer, effective June 12, 2023. Ms. Chan has served as the Company’s Interim Chief Financial Officer since September 12, 2022.

Other information regarding Ms. Chan, including her biographical information and other affiliations with the Company, is included in the Company’s Current Report on Form 8-K filed with the SEC on September 12, 2022, and is hereby incorporated by reference herein.

The Company has not proposed a final Employment Agreement with Ms. Chan.

On June 12, 2023, the Board appointed Chris Rivera, age 44, as the Company’s Interim Chief Financial Officer and as the Company’s Principal Financial Officer during Ms. Chan’s parental leave, effective June 26, 2023. Mr. Rivera has over 20 years of accounting, financial reporting, and consulting experience across the cannabis, industrial manufacturing, healthcare, and technology industries. Mr. Rivera currently serves as a Senior Accounting Manager at Adnant, LLC (“Adnant”), a position he has held since June 2020. At Adnant, Mr. Rivera guides publicly-traded clients through initial public reporting, debt and equity financing arrangements, and audit preparation, and has worked with large multi-state operators such as Glass House Brands, Inc., MedMen Enterprises, Inc., and Gold Flora, LLC. Prior to entering the cannabis industry, Mr. Rivera was an Assurance Director at RSM US LLP where he had been since 2006, managing and conducting financial statement audits and offering accounting consulting services for large privately held-entities across various industries with international operations. Mr. Rivera received a B.A. in Economics with a minor in accounting from the University of California, Los Angeles.

Adnant is an accounting and consulting firm advising cannabis companies on technical and operational accounting, strategic transactions, and the public offering process. As previously disclosed, the Company engaged Adnant to provide executive level consulting and related business support and services related to the Company’s present and future challenges and opportunities.

Except as described above, there is no arrangement or understanding between Mr. Rivera and any other person pursuant to which he was selected as an officer of the Company and there are no family relationships between Mr. Rivera and any of the Company’s directors or executive officers. There are no transactions to which the Company is a party and in which Mr. Rivera has a direct or indirect material interest that would be required to be disclosed under Item 404(a) of Regulation S-K.

**Item 7.01. Regulation FD Disclosure.**

On June 13, 2023, the Company issued a press release announcing the appointment of certain officers described in Item 5.02 above. A copy of the press release is furnished as Exhibit 99.1 to this Current Report on Form 8-K.

The information contained in this Item 7.01, and in Exhibit 99.1, referenced herein is being furnished and shall not be deemed to be “filed” for the purposes of Section 18 of the Securities Exchange Act of 1934, as amended, or otherwise subject to the liabilities of that section, nor shall it be deemed incorporated by reference in any registration statement or other filing under the Securities Act of 1933, as amended, unless the Company expressly so incorporates such information by reference.

**Item 9.01 .Financial Statements and Exhibits.**

(d) Exhibits.

<b>Exhibit</b>	<b>Description</b>
<a href="#">99.1</a>	<a href="#">Press Release, dated June 13, 2023</a>
104	Cover Page Interactive Data File (embedded within the Inline XBRL Document).

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**UNRIVALED BRANDS, INC.**

Date: June 13, 2023

By: /s/ Sabas Carrillo  
Sabas Carrillo  
Chief Executive Officer

## Unrivaled Brands Appoints Patty Chan as Permanent CFO, Announces Maternity Leave, and Interim CFO Appointment

SANTA ANA, Calif., June 13, 2023 (GLOBE NEWSWIRE) -- Unrivaled Brands, Inc. (OTCQB: UNRV) (“Unrivaled,” “Unrivaled Brands,” or the “Company”), a cannabis company with operations throughout California, today announced that its Interim Chief Financial Officer (“CFO”), Patty Chan, has been appointed as the Company’s permanent CFO. Patty Chan has served as the Company’s Interim CFO since September 12, 2022, bringing a wealth of experience and grit, and contributing significantly to Unrivaled’s financial progress. The Board is thrilled to make Patty’s position permanent and continues to be confident in her strategic direction and financial oversight at Unrivaled. Ms. Chan will be taking maternity leave starting June 26, 2023 and is expected to return to her role by November 1, 2023.

During her absence, Chris Rivera, a veteran finance professional with over 20 years in the financial industry, will step in as Interim CFO. In his most recent role at Adnant, where he has served as Senior Manager since June 2020, Mr. Rivera has demonstrated his expertise in guiding clients through initial public reporting and intricate debt and equity financing arrangements. His portfolio boasts of successful collaborations with prominent names like Glass House Brands, Inc., MedMen Enterprises, Inc., and Gold Flora, LLC. Before Adnant, Mr. Rivera played a crucial role in conducting financial statement audits and offering accounting consulting services for both up-and-coming industries and established multinational conglomerates with billion-dollar valuations. Mr. Rivera holds a B.A. in Economics along with a minor in accounting from UCLA.

“I am honored to have been appointed as the permanent CFO and would like to thank the team for its confidence in me,” said Patty Chan. “I look forward to returning after my maternity leave and in the meantime, I am confident that Chris will do an excellent job leading our financial operations.”

### About Unrivaled Brands

Unrivaled Brands is a company focused on the cannabis sector with operations in California. Unrivaled Brands operates four dispensaries and direct-to-consumer delivery, a cultivation facility, and several leading company-owned brands. Unrivaled Brands is home to Korova, known for its high potency products across multiple product categories.

For more info, please visit: <https://unrivaledbrands.com>.

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**Cautionary Language Concerning Forward-Looking Statements**

Certain statements contained in this communication regarding matters that are not historical facts, are forward-looking statements within the meaning of Section 21E of the Securities and Exchange Act of 1934, as amended, and the Private Securities Litigation Reform Act of 1995, known as the PSLRA. These include statements regarding management's intentions, plans, beliefs, expectations, or forecasts for the future, and, therefore, you are cautioned not to place undue reliance on them. No forward-looking statement can be guaranteed, and actual results may differ materially from those projected. The Company undertakes no obligation to publicly update any forward-looking statement, whether as a result of new information, future events or otherwise, except to the extent required by law. The Company uses words such as "anticipates," "believes," "plans," "expects," "projects," "future," "intends," "may," "will," "should," "could," "estimates," "predicts," "potential," "continue," "guidance," and similar expressions to identify these forward-looking statements that are intended to be covered by the safe-harbor provisions of the PSLRA. Such forward-looking statements are based on the Company's expectations and involve risks and uncertainties; consequently, actual results may differ materially from those expressed or implied in the statements due to a number of factors.

New factors emerge from time-to-time and it is not possible for the Company to predict all such factors, nor can the Company assess the impact of each such factor on the business or the extent to which any factor, or combination of factors, may cause actual results to differ materially from those contained in any forward-looking statements. Risks include the risk that the Company and the sellers may not ever enter into definitive documents contemplated by the term sheet discussed above and, therefore, the Company may not settle the amounts owed to the sellers on the terms described above or at all. These risks, as well as other risks associated with the combination, will be more fully discussed in the Company's reports with the SEC. Additional risks and uncertainties are identified and discussed in the "Risk Factors" section of the Company's Annual Report on Form 10-K, Quarterly Reports on Form 10-Q and other documents filed from time to time with the SEC. Forward-looking statements included in this release are based on information available to the Company as of the date of this release. The Company undertakes no obligation to update such forward-looking statements to reflect events or circumstances after the date of this release.

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